

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Haarstad et al  
For: METHOD AND SYSTEM FOR ORGAN POSITIONING AND STABILIZATION  
Serial No.: 10/643,299  
Filed: 08-19-2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 14th day of January, 2004.

\_\_\_\_\_  
Signature  
Barbara J. Lakanen  
\_\_\_\_\_  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement Transmittal
- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies of cited foreign patent documents and other references
- ☒ Return Postcard

**FEE CALCULATION**

- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$240.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

Date 1/13/2004

\_\_\_\_\_  
Daniel W. Latham  
Reg. No. 30,401  
Telephone: (763) 391-9661  
Customer No.: 27581



PATENT  
Docket: P-10855.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s):	Haarstad et al	)	Art Unit: 3731
		)	
Serial No.:	10/643,299	)	Examiner:
		)	
Filed:	08-19-2003	)	
		)	
For:	METHOD AND SYSTEM FOR ORGAN POSITIONING AND STABILIZATION		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

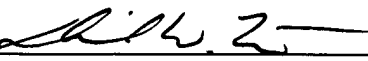
Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

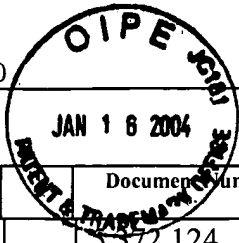
Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Philip J. Haarstad et al  
By their Representatives,

By:   
Daniel W. Latham  
Attorney for Applicants  
Registration No. 30,401  
Telephone: (763) 391-9661  
Customer No.: 27581

<b>INFORMATION DISCLOSURE STATEMENT</b> 	<b>Atty. Docket No.: P-10855.00</b>		<b>Serial No.: 10/643,299</b>			
	<b>Applicant(s): Haarstad et al</b>					
	<b>Filing Date: 08-19-2003</b>		<b>Group: 3731</b>			
<b>U.S. PATENT DOCUMENTS</b>						
	<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>SubClass</b>	<b>Filing Date If Appropriate</b>
	452,131	05/1891	Haughawout			
	3,783,873	01/1974	Jacobs	128	303R	
	4,049,002	09/1977	Kletschka et al	128	318	
	4,306,561	12/1981	De Medinaceli	128	303.13	
	4,688,570	08/1987	Kramer et al	128	305	
	4,726,356	02/1988	Santilli et al	128	20	
	4,925,443	05/1990	Heilman et al	600	16	
	4,955,896	09/1990	Freeman	606	210	
	4,973,300	11/1990	Wright	600	37	
	5,098,369	03/1992	Heilman et al	600	16	
	5,119,804	06/1992	Anstadt	12	64	
	5,131,905	07/1992	Grooters	600	16	
	5,300,087	04/1994	Knoepfler	606	207	
	5,336,252	08/1994	Cohen	607	119	
	5,374,277	12/1994	Hassler	606	207	
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	5,417,709	05/1995	Slater	606	205	
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	3,858,926	01/1975	Ottenhues	294	64 R	
	4,366,819	01/1983	Kaster	128	334 C	
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	4,646,747	03/1987	Lundbäck	128	643	
	4,718,418	01/1988	L'Esperance, Jr.	128	303.1	
	4,808,163	02/1989	Laub	604	105	
	4,854,318	08/1989	Solem et al	128	346	
	4,865,019	09/1989	Phillips	128	20	
	4,989,587	02/1991	Farley	128	20	
	5,011,469	04/1991	Buckberg et al	604	4	
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	5,287,861	02/1994	Wilk	128	898	
	5,365,921	11/1994	Bookwalter et al	128	20	
<b>EXAMINER</b>		<b>Date Considered</b>				
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						



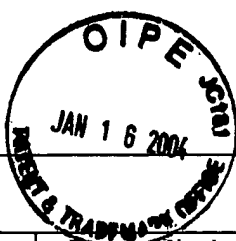
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	5,372,124	12/1994	Takayama et al	128	4	
	5,425,705	06/1995	Evard et al	604	28	
	5,437,651	08/1995	Todd et al	604	313	
	5,807,243	09/1998	Vierra et al	600	204	
	5,108,412	04/1992	Krumeich et al	606	166	
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	4,991,578	02/1991	Cohen	128	419 D	
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	5,836,311	11/1998	Borst et al	128	897	
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	6,019,722	02/2000	Spence et al	600	210	
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	4,892,343	01/1990	Hall	294	64.1	
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	5,702,420	12/1997	Sterling et al	606	205	
	5,133,737	07/1992	Grismer	606	205	
	3,916,909	11/1975	Kletschka et al	128	354	

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Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	4,627,421	12/1986	Symbas et al	128	20	
	4,637,377	01/1987	Loop	128	1 R	
	5,171,254	12/1992	Sher	606	166	
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	5,509,890	04/1996	Kazama	600	37	
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	5,876,332	03/1999	Looney	600	227	
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	5,865,730	02-02-1999	Fox et al	600	228	
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	5,967,972	10-19-1999	Santilli et al	600	232	
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	5,976,080	11-02-1999	Farascioni	600	213	
	6,033,362	03-07-2000	Cohn	600	213	
	6,036,641	03-14-2000	Taylor et al	600	231	
	6,102,854	08-15-2000	Cartier et al	600	228	
	2,590,527	03-25-1952	Fluck			
	4,049,000	09-20-1977	Williams	128	279	
	4,428,368	01-31-1984	Torii	128	38	
	4,852,552	08-01-1989	Chaux	128	20	
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	5,730,757	03-24-1998	Benetti et al	606	198	
	5,772,583	06-30-1998	Wright et al	600	232	
	5,782,746	07-21-1998	Wright	600	37	
	5,888,247	03-30-1998	Benetti	623	66	
	5,947,896	09-07-1999	Sherts et al	600	229	
	5,976,171	11-02-1999	Taylor	606	198	
	6,017,304	01-25-2000	Vierra et al	600	204	
	6,050,266	04-18-2000	Benetti et al	128	898	
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	5,238,334	05-29-2001	Easterbrook, III et al	600	16	
	5,927,284	07-27-1999	Borst et al	128	898	
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	6,071,295	06-06-2000	Takahashi	606	191	
	6,213,941	04-10-2001	Benetti et al	600	235	
	6,506,149	01-14-2003	Peng et al	600	37	
	6,589,166	07-08-2003	Knight et al	600	205	
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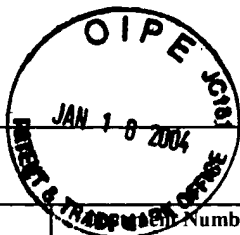
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## FOREIGN PATENT DOCUMENTS

	Number	Date	Country	Class	SubClass	Translation	
						Yes	No
	✓ WO 96/00033	01/1996	PCT			X	
	✓ WO 94/03142	02/1994	PCT			X	
	✓ 0 293 760 A3	05/1988	EPO			X	
	✓ DE 29708050	05/1997	Germany				X
	✓ G 9004513.0	04/1990	Germany				X
	✓ WO 87/04081	07/1987	PCT			X	
	✓ WO 88/00481	01/1988	PCT			X	
	✓ WO 94/14383	07/1994	PCT			X	
	✓ WO 95/15715	06/1995	PCT			X	
	✓ WO 95/17127	06/1995	PCT			X	
	✓ WO 97/10753	03/1997	PCT			X	
	WO 95/01757	01/1995	PCT			X	
	WO 98/10705	03/1998	PCT			X	
	WO 98/17182	04/1998	PCT			X	
	WO 98/27869	07/1998	PCT			X	
	GB 2267827	12/1993	United Kingdom			X	
	0 630 629 A1	12/1994	EPO			X	
	0 668 058 A1	08/1995	EPO			X	
	0 808 606 A1	11/1997	EPO			X	
	0 167 345 A1	01/1986	EPO			X	
	0 920 835 A1	06/1999	EPO			X	
	WO 99/16367	04/1999	PCT			X	
	GB 2 140 695A	12/1984	UK			X	
	GB 2 214 428A	09/1989	UK			X	
	GB 2 214 428B	06/1991	UK			X	
	0 432 560 A2	11/1990	EPO				X
	WO 94/14715	07/1994	PCT			X	
	WO 94/18881	09/1994	PCT			X	
	0 908 139 A1	04/1999	EPO			X	
	0 919 193 A1	06/1999	EPO			X	
	WO 00/06041	02-10-2000	PCT			X	
	WO 97/40751	11-06-1997	PCT			X	
	WO 98/49947	11-12-1998	PCT			X	
	WO 98/48703	11-05-1998	PCT			X	
	WO 99/08585	02-25-1999	PCT			X	
	WO 99/09892	03-04-1999	PCT			X	

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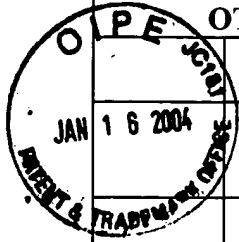


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		A Simple Technique and Device To Provide a Bloodless Operative Field in Coronary Artery Surgery Without Cross-Clamping the Aorta, M. Riahi, RJ Schlosser and LA Tomastis/The Journal of Thoracic and Cardiovascular Surgery, Vol. 66, No. 6, Dec. 1973, pp. 974-978
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		A Prospective Evaluation of the Pulsatile Assist Device, GL Zumbro, Jr., MD; G Shearer, CCP; ME Fishback, MD; and RF Galloway, MD / The Annals of Thoracic Surgery, Vol 28, No. 2 Aug. 1979, pp. 269-273
		Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Grafts Without Cardiopulmonary Bypass, CW Akins, MD; CA Boucher, MD; and GM Pohost, MD / American Heart Journal, Vol. 107, No. 2, Feb. 1984, pp. 304-309
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		Heart-Mechanical Assist Device Interaction, JY Kresh; PLM Kerkhof; SM Goldman; and SK Brockman, Trans. Am. Soc. Artif. Intern. Organs, Vol XXXII, 1986, pp. 437-443
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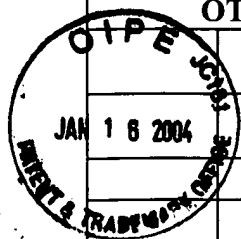
\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

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